



# LEGAL PROCESS, PROFESSIONALISM & ETHICS (LPPE)

**FIRST YEAR 2009-10** 

**VOLUME 1** 

**FALL 2009** 

**Professor Ayelet Shachar** 

naterials have been reproduced for the exclusive educational use of students in storage aculty of Law, University of Toronto and are not for commercial sale or use.

KE 8345.5 .S43 2009 v.1

BORA LASKIN LAW LIBRARY

SEP - 9 2009

FACULTY OF LAW UNIVERSITY OF TORONTO

# LEGAL PROCESS, PROFESSIONALISM & ETHICS (LPPE)

**FIRST YEAR 2009-10** 

**VOLUME 1** 

**FALL 2009** 

**Professor Ayelet Shachar** 

These materials have been reproduced for the exclusive educational use of students in the Faculty of Law, University of Toronto and are not for commercial sale or use.

Digitized by the Internet Archive in 2018 with funding from University of Toronto



## LEGAL PROCESS, ETHICS, AND PROFESSIONALISM (LPPE)

First Year 2009-2010

#### PROFESSOR AYELET SHACHAR

Office Hours (FL 408): Tuesday 12:15-1:00 or by appointment Phone: 416-978-1620; E-mail: <a href="mailto:ayelet.shachar@utoronto.ca">ayelet.shachar@utoronto.ca</a> Faculty Assistant: Vanessa Zhang (<a href="mailto:vanessaz.zhang@utoronto.ca">vanessaz.zhang@utoronto.ca</a>)

This course provides an introduction to legal process, with special emphasis on civil litigation, access to justice, the adversarial process and alternative approaches to dispute resolution. It examines the essentials of civil litigation (including standing, intervention, limitation periods, discovery and privilege, multi-party litigation, and class actions). It also covers the doctrines of territorial jurisdiction, *forum non conveniens*, and choice of law in multilevel governance regimes, as well as topical issues of transnational litigation and restorative justice. Throughout the course, special emphasis will be placed on addressing the fundamentals of legal process in a broader theoretical context.

The course will consist of four parts. The first part will provide an introduction to the common law system, legal reasoning, and the leading analytical frameworks of legal thought. The second part will identify the roles and professional duties of lawyers and judges. The third part will focus on the civil litigation process and its alternatives. The fourth and final part of the course will explore the new "transnational" frontiers of legal process, focusing on the relationship among domestic, regional, and international law and justice.

This course will also include an intensive week on Legal Ethics and Professionalism from November 2 to 6, 2009. The intensive week will bring together the entire first-year class and esteemed members of the profession to discuss professionalism and various ethical issues.

#### **Reading Materials**

The reading material is assembled in a course packet, *Legal Process, Ethics & Professionalism*, 2 volumes, edited by Professor Shachar (Faculty of Law, University of Toronto, 2009). The course packet is available for purchase at the bookstore in the basement of the Law School. Additional copies will be placed on short-term reserve at the Bora Laskin Law Library.

#### **Evaluation**

The evaluation for this course consists of regular class attendance and participation (5% of the final grade), one take-home writing assignment (2,500 words) to be submitted on the day of the final examination (25% of the final grade) and an open-book two-hour final examination (70% of the final grade).

#### 1. INTRODUCTION TO THE COMMON LAW SYSTEM AND CIVIL JUSTICE

#### 1.1 The Rise of the Legal Profession

Rosalie Silberman Abella, "The Law Society of Upper Canada: Professionalism Revisited," October 14, 1999	1
Richard Susskind, <i>The End of Lawyers? Rethinking the Nature of Legal Services</i> (Oxford University Press, 2008), 1-12	7
Lawyering in Comparison (2005)	19
"Sociology of Law" and "Legal Profession" in Blackwell Encyclopedia of Sociology, ed. George Ritzer (Blackwell, 2007)	21
1.2 Process Matters; Vanishing Trials?	
Kenneth Scott, "Two Models of the Civil Process," 27 Stanford Law Review 937 (1975)	28
Lynn Mather, "Law and Society," in <i>The Oxford Handbook of Law and Politics</i> , ed. Keith E. Whittington, R. Daniel Kelemen, and Gregory A. Caldeira (Oxford University Press, 2008), 681-697	31
Lawrence M. Friedman, "The Day Before Trials Vanished," 1 Journal of Empirical Legal Studies 689 (2004)	40
Herbert M. Kritzer, "Disappearing Trials? A Comparative Perspective," 1 Journal of Empirical Legal Studies 735 (2004)	

2

55

### 1.3 Rules and Standards; Stare Decisis

G. L. Gall, "The Operation of Stare Decisis," in <i>The Canadian Legal System</i> , 5 <sup>th</sup> ed. (Carswell, 2004), 431-433	65
Cass R. Sunstein and Edna Ullmann-Margalit, "Second-Order Decisions," in Behavioral Law & Economics, ed. Cass R. Sunstein (Cambridge University Press, 2000), 187-190	69
Carl Hulse, "McCain's Canal Zone Birth Prompts Queries About Whether That Rules Him Out," New York Times, February 28, 2008	72
Oona A. Hathaway, "Path Dependence in the Law: The Course and Pattern of Legal Change in a Common Law System," 86 Iowa Law Review 601 (2001)	75
1.4 <u>Legal Formalism and Alternative Jurisprudential Conceptions; Rules and Standards</u>	
Peter Goodrich, "The Rise of Legal Formalism; or the Defenses of Legal Faith," 3 Legal Studies 248 (1984)	88
Oliver Wendell Holmes, The Common Law (Little, Brown, 1881), 1-2	89
James C. Scott, Seeing Like a State: How Certain Schemes to Improve the Human Condition Have Failed (Yale University Press, 1998), 11-21	90
Lon L. Fuller, "The Case of the Speluncean Explorers," 112 Harvard Law Review 1851 (1999, originally published in 1949)	97
"The Far Side" (Far Works Inc., 1981)	122
Roscoe Pound, "Mechanical Jurisprudence," 8 Columbia Law Review 605 (1908)	123
Patricia Williams, The Alchemy of Race and Rights (Harvard University Press, 1991), 146-148	142
2. THE LEGAL ACTORS	

### 2.1 Lawyers: Accountability to the Client, the Court, or the Justice of the Cause?

"Membership Data" in The Law Society of Upper Canada Performance Highlights (2007) 144

Kristen McMahon, "The 2005 Canadian Lawyer Compensation Survey," Canadian Lawyer (June 2005), 26-30	146
Charles Fried, "The Lawyer as Friend: The Moral Foundations of Lawyer-Client Relations" in <i>The Civil Litigation Process</i> , Watson et al., 5 <sup>th</sup> Edition (Emond Montgomery, 1999)	150
Duncan Kennedy, "The Responsibility of Lawyers for the Justice of Their Causes" in <i>The Civil Litigation Process</i> , Watson et. al., 5 <sup>th</sup> Edition (Emond Montgomery, 1999)	156
Deborah J. Cantrell, "Sensational Reports: The Ethical Duty of Cause Lawyers to be Competent in Public Advocacy," 30 Hamline Law Review 567 (2007)	159
Lucie E. White, "Subordination, Rhetorical Survival Skills, and Sunday Shoes: Notes on the Hearing of Mrs. G." 38 Buffalo Law Review 1 (1990)	164
Chai Rachel Feldblum, "The Art of Legislative Lawyering and the Six Circles Theory of Advocacy," 34 McGeorge Law Review 785 (2002-2003)	176
2.2 Judges: Legal Borrowing; Models of Decision Making; Judicial Independent	nce
Anne-Marie Slaughter, "Judges: Constructing a Global Legal System," in A New World Order (Princeton University Press, 2004), 65-103	189
"Legal Reasoning" in <i>American Legal Realism</i> , ed. William W. Fisher III, Morton J. Horwitz, and Thomas A. Reed (Oxford University Press, 1993), 164-165	209
Jeffrey A. Segal, "Judicial Behavior," in <i>The Oxford Handbook of Law and Politics</i> , ed. Keith E. Whittington, R. Daniel Kelemen, and Gregory A. Caldeira (Oxford University Press, 2008), 19-33	210
Andrew Green and Ben Alarie, "Should They All Just Get Along? Judicial Ideology, Collegiality, and Appointments to the Supreme Court of Canada," 58 <i>University of New Brunswick Law Review</i> 73 (2008)	218
Rebecca Bill Chavez, "The Rule of Law and Courts in Democratizing Regimes," in <i>The Oxford Handbook of Law and Politics</i> , ed. Keith E. Whittington, R. Daniel Kelemen, and Gregory A. Caldeira (Oxford University Press, 2008), 63-80	227
Georg Vanberg, "Establishing and Maintaining Judicial Independence," in <i>The Oxford Handbook of Law and Politics</i> , ed. Keith E. Whittington, R. Daniel Kelemen, and Gregory A. Caldeira (Oxford University Press, 2008), 99-118	237
3. THE LITIGATION PROCESS AND THE RULES OF CIVIL PROCUEDRE	

## 3.1 Who Can Litigate? Standing; Intervention; Amici Curiae

Rules of Civil Procedure, R.R.O. 1990, Reg. 194, as amended, r.13, 108	248
Canadian Council of Churches [1992] 1 S.C.R. 236	251
Canadian Civil Liberties Association v. Canada (A.G.) [1998] O.J. No. 2856 (O.C.A)	255
Cristin Schmitz, "Intervenors Set Record, Supreme Court 'Restrained' in 2000,"  Law Times, April 13, 2001	269
Paul M. Collins Jr., "Amici Curiae and Dissensus on the U.S. Supreme Court," 5 Journal of Empirical Legal Studies 143 (2008)	270
3.2 Where to Litigate? Jurisdiction, Territoriality, and Forum Non Conveniens	
Morguard Investments Ltd. v. De Savoye [1990] 3 S.C.R. 1077	274
Andrea Brighenti, "On Territoriality as Relationship and Law as Territory," 21 Canadian Journal of Law and Society 65 (2006)	276
Stephen G.A. Pitel, "Enforcement of Foreign Judgments: Where Morguard Stands After Beals," 40 Canadian Business Law Journal 189 (2004)	658
Trevor C. W. Farrow, "Globalization, International Human Rights, and Civil Procedure" 41 Alberta Law Review 671 (2003)	279
Bill Miller and Christine Haughney, "Old Law Creates Forum for War-Crimes Trials," <i>The Toronto Star</i> , August 13, 2000	288
"Pulling Back the Blanket," The Economist, July 10, 2008	290
Santa Clara Pueblo v. Martinez (1978) 436 U.S. 49	293
Ayelet Shachar, "Introduction" in Multicultural Jurisdictions: Cultural Differences and Women's Rights (Cambridge University Press, 2001), 1-16, 17-44	300
Will Kymlicka, "Individual Rights and Collective Rights," in <i>Multicultural Citizenship: A Liberal Theory of Minority Rights</i> (Oxford University Press, 1995), 34-48	323
3.3 When to Litigate? Limitation Periods; Transitional Justice Dilemmas	
Consumers Glass v. Foundation Co. of Canada Ltd. (1985), 51 O.R. (2d) 385 (O.C.A)	331

Ontario Regulation 195/04	532
Ministry of the Attorney General, "Press Release re Paralegal Regulation, "October 19, 2006	537
3.7 <u>Alternative Dispute Resolution Processes</u>	
Harry Arthurs, "More Litigation, More Justice? The Limits of Litigation as a Social Justice Strategy"	542
Michael P. Silver, <i>Mediation and Negotiation: Representing Your Clients</i> (Butterworths, 2001), 3-12, 81-85	546
Owen M. Fiss, "Against Settlement" (1984) 98 Yale Law Journal 1073	554
Chris A. Carr and Michael R. Jencks, "The Privatization of Business and Commercial Dispute Resolution: A Misguided Policy Decision," 88 Kentucky Law Journal 183 (2000)	557
"Order in the Jungle," The Economist, March 13, 2008	565
Stefan Voigt, "Are International Merchants Stupid? Their Choice of Law Sheds Doubt on the Legal Origin Theory," 5 Journal of Empirical Legal Studies 1 (2008)	570
Arbitration Act, 1991, S.O. 1991, ch. 17, ss. 6, 17-20	586
Judy Van Rhijn, "First Steps Taken for Islamic Arbitration Board," <i>Law Times</i> , November 24, 2003	589
The Canadian Society of Muslims News Bulletin, Darul-Qada: Beginnings of Muslim Civil Justice System in Canada (April 2003)	590
Canadian Council of Muslim Women, Position Statement on the Proposed Implementation of Sections of Muslim Law [Sharia] in Canada (May 2004)	593
Marion Boyd, "Religiously-Based Alternative Dispute Resolution: A Challenge to Multiculturalism," Canadian Diversity: Religious Pluralism, International Approaches	600
Ministry of the Attorney General, "Backgrounder: The Family Statute Law Amendment Act" (2005)	605
Family Arbitration, Ontario Regulation, 134/07 (2008)	607

## 4. THE NEW FRONTIERS OF LEGAL PROCESS: REGIONAL, TRANSNATIONAL AND INTERNATIONAL LAW AND JUSTICE

#### 4.1 Expanding Litigation's Territory

Julius Melnitzer, "Litigation Goes Continental," 5 L'Expert 68 (November/December 2003)	612
Margaret McCaffery, "Canadian Lawyers at an Advantage in Overseas Firms," Financial Post, February 6, 2008	618
"Conflict Resolution: The Discreet Charms of the International Go-Between," <i>The Economist</i> , July 5-11, 2008, 71-72	620
Harold Hongju Koh, "Transnational Legal Process," 75 Nebraska Law Review 181 (1996)	622
Presbyterian Church of Sudan v. Talisman (2003) U.S. Dist. Lexis 4085	636
4.2 <u>Supranational Institutions and International Law Norms: "Supreme" to the Supreme Court of Canada?</u>	2
Lovelace v. Canada UN GOAR, 36th Sess., Supp. No. 40, UN Doc. A/36/40 (1981)	644
Baker v. Canada [1999] 2 S.C.R. 817	647
Ruth Rubio-Marin and Martha I. Morgan, "Constitutional Domestication of International Gender Norms: Categorizations, Illustrations, and Reflections from the Nearside of the Bridge," in <i>Gender and Human Rights</i> , Karen Knop ed. (Oxford University Press, 2004), 113-129	648
David Held, "Democracy and Globalization," in <i>Re-imagining Political Community</i> (Polity Press, 1998)	672
POSTSCRIPT	
Jessica Leeder. "A Breakfast Date at the Courthouse." <i>Toronto Star</i> . August 4, 2003	681

### 1. INTRODUCTION TO THE COMMON LAW SYSTEM AND CIVIL JUSTICE

## 1.1 The Rise of the Legal Profession

Rosalie Silberman Abella, "The Law Society of Upper Canada: Professionalism Revisited," October 14, 1999  Richard Susskind, <i>The End of Lawyers? Rethinking the Nature of Legal Services</i> (Oxford University Press, 2008), 1-12	1 7
"Sociology of Law" and "Legal Profession" in Blackwell Encyclopedia of Sociology,	21